26	16	#
		The

TRANSMIT	TA	۱L
FORM		

(to be used for all correspondence after initial filing)

Total Number of Pages in Submission

Application Number	09/819,126
Filing Date	March 27, 2001
First Named Inventor	James H. Errico
Art Unit	2616
Examiner Name	Jamieson W. Fish
Attorney Docket Number	SLA 0382 (7146.0107)

		ENCLOSURES (Check all that apply)		
☐ Fee Transmittal		☐ Drawing(s)		wance communication logy Center (TC)
☐ ☐ Fee Attache	d	Licensing-related Papers	│	ommunication to Board of
☐ Amendment/Re	ply	☐ Petition		and Interferences
☐ After Final	1 2 4	Petition to Convert to a Provisional Application		ommunication toTC (Appeal rief, Reply Brief)
Affidavits/de	` '	☐ Power of Attorney, Revocation	☐ Proprietar	y Information
Extension of Tin	ne Request	Change of Correspondence Address	Status Le	tter
☐ Express Abando	onment Request	☐ Terminal Disclaimer		
☑ Information Disc	closure Statement	☐ Request for Refund	below):	closure(s) (please Identify
☐ Certified Copy of	of Priority Document(s)	CD, Number of CD(s)		
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Response to Missing Parts under 37 CFR 1.52 or 1.53 Response to Missing Parts under 37 CFR 1.52 or 1.53			and return receipt postcard.	
	SIG	NATURE OF APPLICANT, ATTORNEY, OR AG	ENT	
Kevin L. Russell Chemoff, Vilhauer, McClung & Stenzel, LLP Or 1600 ODS Tower Individual Name 601 S.W. Second Avenue Portland, OR 97204				
Signature	2			
Date	March 14, 2006			
CERTIFICATE OF TRANSMISSION/MAILING				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.				
Typed or Printed Na	ime Stephanie N. Johnson			
7,750 5				
Signature			Date	March 14, 2006

390

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	7.00			<u> </u>	
IAR 1 7 201	Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete If Known		
			Application Number	09/819,126	
·	FEE TRANS	SMITTAL	Filing Date	March 27, 2001	
FEE TRANSMITTAL for FY 2005		First Named Inventor	James H. Errico		
	Applicant claims small entity st	tatus. See 37 CFR 1.27	Examiner Name	Jamieson W. Fish	
			Art Unit	2616	
1	TOTAL AMOUNT OF PAYMENT	l (\$) 180.00	Att on Donatothi	01 1 0000 (7440 0407)	

		Attorney Docket No.	SLA 0382 (7148.0107)		
METHOD OF PAYMENT (chec	k all that apply)				
☐ Check ☐ Credit Card ☐	Money Order	Other (please identify	y) :		
□ Deposit Account Deposit Account	count Number: 03-1550	Deposit Acco	ount Name: Chernoff Vilhaue	r, et. al.	
For the above-identified of	deposit account, the Director is	s hereby authorized to:	(check all that apply)		
Charge fee(s) indic	cated below	Char	rge fee(s) indicated below, ex	cept for the filing fee	
	onal fee(s) or underpayments	of fee(s) Cred	lit any overpayments		
Under 37 CFR 1.1 WARNING: Information on this form n	nay become public. Credit card	information should not b	e included on this form. Provid	e credit card	
information and authorization on PTO FEE CALCULATION	J-2038.				
1. BASIC FILING, SEARCH,	AND EXAMINATION FEE				
		EARCH FEES	EXAMINATION FEI		
Application Time Foot	Small Entity	Small Entit			
Application Type Fee (Utility 300	(\$) <u>Fee(\$)</u> <u>Fo</u>	ee(\$) <u>Fee(\$)</u> 00 250	Fee(\$) Fee(\$) 200 100	Fees Paid (\$)	
Design 200	100 10		130 65		
Plant 200	100 30	7	160 80		
Reissue 300	150 50		600 300		
Provisional 200	100	0 0	0 0		
2. EXCESS CLAIM FEES				Small Entity	
Fee Description			Fee (\$		
Each claim over 20 (including)			50	25	
Each independent claim over 3	(including Reissues)		200	100	
Multiple dependent claims Total Claims Extr	ra Claims Fee(\$)	Fee Paid (\$)	360 Multi	180 ole Dependent Claims	
-20 or HP=	<u>r ee(ψ)</u> Χ	<u>r ee r alu (\$)</u> =		(\$) Fee Paid (\$)	
HP = highest number of total clain			<u>1 60</u>	1001 110 (4)	
<u> </u>	ra Claims Fee(\$)	Fee Paid (\$)			
- 3 or HP=		=			
HP = highest number of independ	lent claims paid for, if greater than	3.			
3. APPLICATION SIZE FEE					
If the specification and drawings					
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).					
			fraction thereof Fee (\$	Fee Paid (\$)	
100 = / 50 = (round up to a whole number) x =					
4. OTHER FEE(S) Fees Paid (\$)					
Non-English Specification, \$130 fee (no small entity discount)					
Other (e.g., late filing su					
	Other (e.g., late filing surcharge): IDS Filing Fee \$180.00				

SUBMITTED BY				
Signature	1/	Registration No. (Attorney/Agent) 38,292	Telephone	(503) 227-5631
Name (Print/Type)	Kevin L. Russell		Date	March 14, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first ss mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1415, Washington, D.C. 20231, on March 14, 2006.

Dated: March 14, 2006

Atty. Docket No. SLA 0382 (7146.0107)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James H. Errico Group Art Unit: 2616

U.S. Pat. App. No.: 09/819,126 Examiner: Jamieson W. Fish

Filed: March 27, 2001

Customer No.: 00152

Title: AUDIOVISUAL MANAGEMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith four sheets of Form PTO-1449 (Modified) listing the patents and other references of which Applicant is aware and which Applicant desires to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(c)(2), this Information Disclosure Statement is being submitted after the mailing date of a first Office 33/20/2006 WABDELR1 00000053 09819126 Action on the merits of the above-identified application. Accordingly, enclosed is the required fee of \$180.00.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

The Examiner is requested to initial Form PTO-1449 and return an acknowledgment copy to the Applicant to confirm that the listed references were received and considered.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

CHERNOFF, VILHAUER, McCLUNG & STENZEL

By:

Kevin L. Russell, Reg. No. 38,292 1600 ODS Tower 601 SW Second Avenue

601 SW Second Avenue Portland, OR 97204

Tel: 503-227-5631 Fax: 503-228-4373

Dated: March 14, 2006

INFORMATION DISCLOSURE	Application Number	09/819,126
	Filing Date	March 27, 2001
STATEMENT BY APPLICANT	First Named Inventor	James H. Errico
(Use as many sheets as necessary)	Art Unit	2616

Complete if Known

Jamieson W. Fish Attorney Docket Number Sheet SLA0382 (7146.0107)

Examiner Name

Examiner Initials	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevan Figures Appear
		US-4,321,635	March 23, 1982	Tsuyugychi	
		US-4,324,402	April 13, 1982	Klose	
		US-5,012,334	April 30, 1991	Etra	
		US-5,241,671	August 31, 1993	Reed, et. al.	
		US-5,288,069	February 22, 1994	Matsumoto	
		US-D348,251	June 28, 1994	Hendricks	
		US-D354,059	January 3, 1995	Hendricks	
		US-5,404,316	April 4, 1995	Klingler, et. al.	
		US-5,434,678	July 18, 1995	Abecassis	
		US-5,444,499	August 22, 1995	Saitoh	
		US-D368,263	March 26, 1996	Hendricks	
		US-5,550,965	August 27, 1996	Gabbe, et. al.	
	•	US-5,559,549	September 24, 1996	Hendricks, et. al.	
		US-5,589,945	December 31, 1996	Abecassis	
		US-5,600,364	February 4, 1997	Hendricks, et. al.	
		US-5,600,573	February 4, 1997	Hendricks, et. al.	
		US-5,600,781	February 4, 1997	Root, et. al.	
		US-5,610,653	March 11, 1997	Abecassis	
		US-5,634,849	June 3, 1997	Abecassis	
		US-D381,991	August 5, 1997	Hendricks	
		US-5,659,350	August 19, 1997	Hendricks, et. al.	
		US-5,664,046	September 2, 1997	Abecassis	
		US-5,682,195	October 28, 1997	Hendricks, et. al.	
		US-5,684,918	November 4, 1997	Abecassis	
		US-5,696,869	December 9, 1997	Abecassis	
		US-5,710,884	January 20, 1998	Dedrick	
		US-5,717,814	February 10, 1998	Abecassis	
		US-5,724,472	March 3, 1998	Abecassis	
		US-5,727,129	March 10, 1998	Barrett, et. al.	
		US-5,734,853	March 31, 1998	Hendricks, et. al.	
		US-5,758,257	May 26, 1998	Herz, et. al.	
		US-5,761,881	June 9, 1998	Wall	
		US-5,774,357	June 30, 1998	Hoffberg, et. al.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Sheet

2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

4

Complete if Known			
Application Number	09/819,126		
Filing Date	March 27, 2001		
First Named Inventor	James H. Errico		
Art Unit	2616		
Examiner Name	Jamieson W. Fish		
Attorney Docket Number	SLA0382 (7146.0107)		

	-		U. S. PATENT DOC	UMENTS	
Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear
		US-5,794,210	August 11, 1998	Goldhaber, et. al.	
		US-5,797,001	August 18, 1998	Augenbraun, et. al.	
		US-5,809,426	September 15, 1998	Radojevic. et. al.	
		US-5,822,537	October 13, 1998	Katseff, et. al.	
		US-5,835,087	November 10, 1998	Herz, et. al.	
		US-5,848,396	December 8, 1998	Gerace	
		US-D402,310	December 8, 1998	Hendricks	
		US-5,867,226	February 2, 1999	Wehmeyer, et. al.	
		US-5,867,386	February 2, 1999	Heffberg, et. al.	
		US-5,875,108	February 23, 1999	Hoffberg, et. al.	
		US-5,878,222	March 2, 1999	Harrison	
		US-5,892,536	April 6, 1999	Logan, et. al.	
		US-5,901,246	May 4, 1999	Hoffberg, et. al.	
		US-5,907,324	May 25, 1999	Larson, et. al.	
·		US-5,913,030	June 15, 1999	Lotspiech, et. al.	
		US-5,930,783	July 27, 1999	Li, et. al.	
		US-5,956,026	September 21, 1999	Ratakonda	_
		US-6,006,265	December 21, 1999	Rangan, et. al.	
		US-6,064,385	May 15, 2000	Sturgeon, et. al.	
		US-6,070,167	May 30, 2000	Qian, et. al.	
·		US-6,076,166	June 13, 2000	Moshfeghi, et. al.	
		US-6,078,928	June 20, 2000	Schnase, et. al.	
		US-6,128,624	October 3, 2000	Papierniak, et. al.	
		US-6,137,486	October 24, 2000	Yoshida, et. al.	
		US-6,177,931	January 23, 2001	Alexander, et. al.	
		US-6,226,678	May 1, 2001	Mattaway	
		US-6,236,395	May 22, 2001	Sezan, et. al.	
		US-6,286,141	September 4, 2001	Browne, et. al.	
		US-2001/0030664	October 18, 2001	Shulman, et. al.	
		US-6,317,881	November 13, 2001	Shah-Nazaroff, et. al.	
		US-2002/0026345	February 28, 2002	Juels	
		US-6,370,504	April 9, 2002	Zick, et. al.	
		US-6,405,371	June 11, 2002	Oosterhout, et. al.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

OTP	EMO
MAR 1	7 2006
\ <u>B</u>	O L

Sheet

FORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

4

Complete if Known			
Application Number	09/819,126		
Filing Date	March 27, 2001		
First Named Inventor	James H. Errico		
Art Unit	2616		
Examiner Name	Jamieson W. Fish		
Attorney Docket Number	SLA0382 (7146.0107)		

Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	U. S. PATENT DOC Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevan Figures Appear
		US-2002/0097165	July 25, 2002	Hulme	
		US-6,446,261	September 3, 2002	Rosser	
		US-2002/0133412	September 19, 2002	Oliver, et. al.	
		US-6,530,082	March 4, 2003	Del Sesto, et. al.	
		US-6,535,639	March 18, 2003	Uchihachi, et. al.	
		US-6,546,555	April 8, 2003	Hjelsvold	
		US-6,553,178	April 22, 2003	Abecassis	
		US-6,571,279	May 27, 2003	Herz, et. al.	
		US-2003/0105682	June 5, 2003	Dicker, et. al.	
		US-6,578,075	June 10, 2003	Nieminen, et. al.	<u> </u>
		US-6,581,207	June 17, 2003	Sumita, et. al.	
!		US-6,587,127	July 1, 2003	Lecke, et. al.	
		US-6,678,659	January 13, 2004	Van Kommer	
		US-6,766,362	July 20, 2004	Miyaska, et. al.	
		US-6,868,440	March 15, 2005	Gupta, et. al.	
		US-2005/0102202	May 12, 2005	Linden, et. al.	

Examiner Initials	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear	T ⁶
		JP 08-125957	May 17, 1996	Konica Corp.		
		JP 09-322154	December 12, 1997	Sony Corp.		
_		JP 2001-036861	February 9, 2001	Matsushita Electric Ind. Co. Ltd.		
		JP 2002-503896	February 5, 2002	Intel Corporation		
		PCT-WO94/14284	June 23, 1994	Discovery Communications, Inc.		<u> </u>
						L
						Ì

Examiner	Date	
Signature	Considered	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

MAR 17 2006 W

Sheet

4

(Use as many sheets as necessary)

4

Complete if Known		
Application Number	09/819,126	
Filing Date	March 27, 2001	
First Named Inventor	James H. Errico	
Art Unit	2616	
Examiner Name	Jamieson W. Fish	
Attorney Docket Number	SLA0382 (7146.0107)	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		EHRMANTRAUT, HARDER, WITTIG & STEINMATZ, "The Personal Electronic Program Guide – Towards the Preselection of Individual TV Programs," Proceedings of the International Conference on Information and Knowledge Management CIKM, ACM, New York, NY, US, November 12, 1996, pp. 243-250.	
		LIEBERMAN, Henry, et. al., "Let's Browse: A Collaborative Web Browsing Agent," Jan./1999, CPP Conference Paper, Proceeding of IUI99. Intelligent User Interfaces, pp. 65-68.	
		QIAN, et. al., "Description Schemes for Consumer Video Applications" February 1999, Camas, Washington, U.S.A., ISO/IEC JTC1/SC29/WG11 - MPEG-7 Proposal 429,	
		"XML Schema Part 2: Datatypes," W3C Recommendation 2 May 2001, http://www.w3.org/TR/xmlschema-2/, pages 1-136.	
_			
			<u> </u>
Evaminar		Data	

		 _
Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.